

SECTOR #



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Datta, R.

Patent Application No.: 09/852,828

Filing Date: May 10, 2001

Title: Method, System, and Product for
Facilitating International Travel with
Respect to Immigration

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Group Art Unit: 2161

Examiner: Unassigned

Atty Docket No. US920010333US1

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

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Washington, D.C. 20231, on the date of signature, below.

Signature

Date:

Dennis J. [Signature]
8-22-01

TRANSMITTAL LETTER

Transmitted herewith for filing in the referenced application are the following documents:

- X Response to Notice to File Missing Parts;
- X Copy of May 10, 2001 transmittal letter;
- X Copy of signed Declaration and Power of Attorney dated May 9, 2001;
- X Copy of Return-receipt postcard acknowledging receipt of the application
filing papers; and
- X Return-receipt postcard.

No fee is believed to be due with the submission of this Response. However, the
Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for additional
fees required under 37 CFR §§1.16.

Date:

8/22/01

By:

Gordon T. Arnold

Gordon T. Arnold
Reg. No. 32,395
Arnold & Associates
2603 Augusta, Suite 800
Houston, Texas 77057
Tel. (713) 972-1150
Fax (713) 972-1180
ATTORNEY FOR APPLICANT

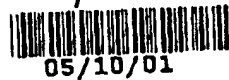


Docket No. AUS 92001 0323 US1 Serial No. _____ Any PSB/AMH Date Mailed 5/10/01

PLEASE ACKNOWLEDGE AND RETURN

☒ Certificate of Express Mail
Express Mail No. ET 470077588 US
☒ Application w/ 1 Declaration ☐ Transmittal Letter
Spec 16 pp; Claims 9 pp ☐ Certificate of Mailing
☒ Recordation Cover Sheet ☐ Amendment
☒ Assignment ☐ Amendment AF
☒ Drawings 3 sheets (Informal) ☐ Notice of Appeal
☐ Missing Parts ☐ Appeal Brief
☐ IDS ☐ Reply Brief
☐ PTO 1449 _____ references ☐ FWC/Continuation/Divisional
☐ Ext. of Time ☐ Issue Fee
☐ Other _____

11000 U.S. PTO
09/852828



05/10/01

Applicant: Ratunachanath D. et al.

Title: Method, System, and Product for Facilitating

International Travel With Respect To Immigration

Deposit Acct. No. 000031/09-0447
Fees: App. 1106.00 Assign 10.00 Amendment _____ Other _____



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Dutta, R.

Patent Application No.: 09/852,828

Filing Date: May 10, 2001

Title: Method, System, and Product for
Facilitating International Travel with
Respect to Immigration

Group Art Unit: 2161

Examiner: *Unassigned*

Atty Docket No. US920010333US1

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Washington, D.C. 20231

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an envelope addressed to: Box: Missing Parts, Commissioner for Patents,
Washington, D.C. 20231 on the date of signature, below.

Signature

Date:

Denny M. [Signature]
8-22-01

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application
dated July 9, 2001, attorney for Applicant submits the following:

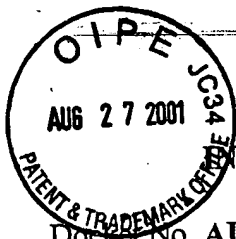
1. A copy of the May 10, 2001 transmittal letter forwarding the referenced application to the U.S. Patent & Trademark Office. This transmittal letter provides authorization to charge IBM Corporation Deposit Account No. 09-0447 in the amount of \$1,106 to cover the filing fees. Please proceed as authorized on May 10, 2001 with charging Deposit Account No. 09-0447 in the amount of \$1,106.
2. A copy of the signed Declaration and Power of Attorney for Patent Application form dated May 9, 2001 submitted with the referenced application on May 10, 2001.
3. A copy of the return-receipt postcard from the U.S. Patent & Trademark Office acknowledging receipt of the transmittal letter providing deposit account authorization and the signed Declaration form on May 10, 2001.

Attorney for Applicant believes that all filing requirements under 37 CFR 1.53 for this application were satisfied on the filing date, May 10, 2001, and therefore no additional fee pursuant to 37 CFR 1.16(e) should be required. However, the Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of fees.

Date: August 22, 2001

By: Gordon T. Arnold

Gordon T. Arnold
Reg. No. 32,395
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2603 Augusta, Suite 800
Houston, Texas 77057
Tel. (713) 972-1150
Fax (713) 972-1180
ATTORNEY FOR APPLICANT



420077588US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Docket No. AUS920010333US1

Date: 5/10/01

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Rabindranath Dutta

Dwip N. Banerjee

For: METHOD, SYSTEM, AND PRODUCT FOR FACILITATING
INTERNATIONAL TRAVEL WITH RESPECT TO IMMIGRATION

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are also:

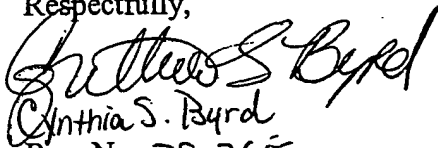
- ☒ 16 Pages of Specification including an Abstract
☒ 9 Pages of Claims
☒ 3 Sheet(s) of Drawings
☒ A Declaration and Power of Attorney
☒ Form PTO 1595 and assignment of the invention to IBM Corporation

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$710)
Total Claims	42	-20 =	22	X	\$ 18	=	\$396
Independent Claims	3	3 =	0	X	\$ 80	=	0
Multiple Dependent Claims	0				\$270	=	0
Total Filing Fee							= \$1,106

- ☒ Please charge \$1,106 to IBM Corporation, Deposit Account No. 09-0447.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37CFR § 1.16.
☒ Any patent application processing fees under 37CFR § 1.17.

Respectfully,


Cynthia S. Byrd

Reg. No. 39,365

Intellectual Property Law Dept.

IBM Corporation

11400 Burnet Road 4054

Austin, Texas 75758

Telephone: (512) 823-5884

#3



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,828	05/10/2001	Rabindranath Dutta	AUS920010333US1

CONFIRMATION NO. 5441

FORMALITIES LETTER



OC000000006273971

Arnold & Associates
2603 Augusta, Suite 800
Houston, TX 77057

Date Mailed: 07/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$396.
 - \$396 for 22 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1236.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 BNGUYEN1 00000020 090447 09852828

01 FC:101 710.00 CH
02 FC:103 396.00 CH